

Minutes of the
NIU Board of Trustees
Compliance, Audit, Risk Management and Legal Affairs
COMMITTEE MEETING
November 6, 2014

CALL TO ORDER AND ROLL CALL

Time: 11:12 AM

Chair Boey: Okay I'll call the meeting to order. Good morning and welcome to the meeting of Compliance, Audit, Risk Management and Legal Affairs Committee, fondly referred to as the CARL Committee. I think that came from Jerry Blakemore. Before I ask for the roll call, I would like to introduce Julie Edwards our new secretary to this committee. Julie has more than 16 years of experience at NIU, is a proud Huskie and the mother of two NIU graduates, Christopher Edwards and Crystal Edwards. Julie currently serves NIU as an Office Support Specialist. A fun fact about her is that during her tenure she has assisted four different head football coaches and was originally hired by Coach Novak. Her experience will certainly prove helpful in her new responsibilities in the Office of General Counsel. So Miss Edwards will you please do the roll call.

Present: Trustees Wheeler Coleman, Robert Marshall, Cherilyn Murer, Marc Strauss, Student Trustee Paul Julion, Board Chair John Butler, Committee Chair Robert Boey

Phone: None

Absent: Trustee Anthony Iosco

Extras Present: President Douglas Baker, Committee Liaison Nancy Suttentfield, Board Liaison Mike Mann, Provost Lisa Freeman, and Board General Counsel Gregory Brady

Asked for roll call: Chair Boey

Performed roll call: Recording Secretary Julie Edwards

VERIFICATION OF APPROPRIATE NOTICE OF PUBLIC MEETING

Chair: Boey: Thank you. Mr. Brady do we have quorum and appropriate notices?

Answered verification: Board General Counsel Gregory Brady: Mr. Chair it appears we have quorum and appropriate notices were provided by the university.

MEETING AGENDA APPROVAL

Chair: Boey: Okay. Trustees, may I have a motion to approve the proposed agenda?

Motion: Murer: So moved.

Second: Marshall

Chair: Boey: Discussion? All in favor?

Members: Aye

Chair Boey: Opposed? Carried, thank you.

CHAIR'S COMMENTS/ANNOUNCEMENTS

Chair: Boey: Let me take a moment to offer my remarks as the CARL Committee chair. Let me begin by thanking each of you for attending this meeting and I would now like to recognize the various leaders of the university constituent groups. Dr. Bill Pitney, Executive Secretary University Council. Bill good morning. Deborah Haliczner, President of SPS Council. Good morning. Dr. Gregory Waas, Faculty Representative to the CARL Committee. Not here, okay. Jerry Montiero, President of the Operating Staff Council. Jerry, thank you. Good to see you again. We are especially pleased that you are with us and today are there any remarks that you would like to share with us?

Deborah Haliczner: Yes, on behalf of my colleagues I would like to talk about one of the things that occupies all faculty and staff at this time of year and that is compliance with all regulations and laws. I am proud to say that NIU faculty and staff take ethics very seriously. We are currently in the midst of ethics training and as of this morning 80 percent of our employees have completed it with one week to go before the deadline. Most of the trustees have also presented their certificates and so really this is the time of year when all of us talk about real ethics in our actions at the university and our actions as professionals. So things are going well with us with ethics training.

Chair Boey: Thank you. Bill any comments?

Bill Pitney: Not at this time. Thank you.

REVIEW AND APPROVAL OF MINUTES

Chair Boey: Okay, thank you. I am reminded by legal here that I missed the approval of minutes of August 28, 2014 so may I have a motion trustees to approve the minutes?

Motion: Strauss: So moved.

Chair Boey: Is there a second?

Second: Butler

Chair Boey: All in favor?

Members: Aye

Chair Boey: Opposed? Carried. Thank you.

UNIVERSITY RECOMMENDATIONS/REPORTS

Action Item 7.a. –

Information Item 8.a. – Internal Audit Update (Danielle Schultz)

Chair Boey: Today represents the first meeting of the CARL Committee for 2014/2015 Board of Trustees calendar year. The committee has been in existence for approximately a year. In the past year we have been briefed a number of issues of significance to the committees charge including a Title IX presentation

by Carolyn Baker and insurance presentation by Sarah Cliffe and athletics compliance presentation by Athletic Director Sean Frasier and his combined staff. The committee has followed a practice of at least a substantive discussion on one of the four areas within the jurisdiction of the committee and at least quick updates in one or more of the other areas. As chair I propose that we expand upon this practice by continuing our substantive discussion in a particular area but also develop the work plan for the year which may include goals, benchmarks and reports as appropriate. Agenda Item 9 will provide an opportunity for the committee to express their thoughts on this matter and I welcome and look forward to the committee's discussion. Before this discussion ensues, I have asked our internal auditor Danielle Schultz to provide us the annual internal auditor's report. This report is required by state law and discussion affords us the opportunity to meet the state's compliance requirement. However, we have other forums to discuss the details of any particular audit which we may do in either closed session or by one-on-one meetings with the auditor. I encourage members to avail themselves of these opportunities and I encourage members to maintain the confidentiality or details of any particular audit by not in this public session inquiring about the details of any one of the particular audit. Our internal auditor is now sending to each of the committee members the internal audit and she and the general counsel will make themselves available to discuss the details upon request. Miss Schultz, internal audit presentation would you please come forward and do that?

Danielle Schultz: Thank you. Mr. Chair, Trustees, thank you for the opportunity to address the committee. As the chair mentioned, sometimes I'm referred to as the internal auditor, and I just want to point out that it really does take a whole staff of professionals to do the audit work that we need to accomplish at the university. The first slide of my presentation shows the staff of professionals that we have in our internal audit office. I'd like to point out at the bottom of our slide it shows that we have one position that is currently vacant and we're in the process of trying to fill that. Also I wanted to clarify a few of the certifications that are listed on the screen. All of our staff currently are CIA's which is certified internal auditor. Three quarters of the staff currently is CPA's which is a certified public accountant and a CISA stands for certified information systems auditor. I'd like to publically thank my staff for their hard work. This last fiscal year was particularly challenging for me on a personal basis and they did a fabulous job carrying on the work of our department while I was absent off and on during the spring. The next slide shows the department mission and I won't take the time to read it to you because you have it in front of you as well, but I do want to point out that internal auditing is based on an objective assessment of the evidence. So we use a systematic and disciplined approach to evaluate and recommend improvements in the areas of risk management, internal controls and also the governance process. As Director of Internal Audit Department I must communicate with the Board of Trustees. One way that I communicate with the Board is to meet with the chair of this committee. Another way is to present to the committee on an annual basis. We must follow the standards of the Internal Institute of Internal Auditors, and one important requirement or standard that is required is that we remained independent. I'd like to take this opportunity to assure the Board and confirm our independence from university operational duties and that allows us to preserve the independence of our entire department. Another form of communication that I present to the Board is this annual update so at this time I want to go to the next slide which is an overview of our fiscal year '14 activities. I'd like to remind you as the chair did that I will be providing a high-level overview of our activities and not getting into details at this time. One exciting development that we had in our department this last year was the implementation of a software application which provides our department with automated work papers and also a tool to facilitate our departmental activities. I'm happy to report that we have moved beyond the learning curve and we hope to continue to improve our efficiencies as we gain experience using this tool. Our audit plan for FY14 began with 21 audits. Two of those audits were moved to fiscal year '15 and are in the final stages at this time. A total of 19 audits were conducted during FY14 and if we go to the next screen it will give you a depiction of what topical areas those audits fell under. We had one operational audit, six compliance audits, one financial audit, ten fraud detection and prevention audits, and one information systems audit. Again I'd like to thank you for the opportunity to address the committee.

Chair Boey: Thank you Danielle. Any questions for Danielle? Thank you. Before I continue, yes, oh excuse me, I look right.

Trustee Strauss: Between you and the other Bob I guess we have one complete person.

Chair Boey: Yes. You can answer that Bob. We'll get him afterwards.

Trustee Strauss: A couple of quick questions. First, with regards to the dissemination of the audit results, I can only observe over the last year there were a couple of times where until I asked for them I didn't get them. It's not that we weren't able to receive the reports and I'd like to make a renewed request that we take a look at the process that gets those reports as they are issued to us. I only personally care about them after they've been issued, but if we could take a look at that I would appreciate it. And then the second thing is without talking about any of the particular assignments, at some point there will be a plan of work for what's done by internal audit for the next year and I would like for us to focus on the fraud detection and prevention area again. It's just my personal viewpoint and I know that largely this will be worked out between the president and the internal audit department.

Danielle Schultz: Okay I'll just comment on both of those. First, we have been discussing and I met with Chair Boey to discuss our plans and I think it's later in the agenda, our upcoming plans of action for the CARL Committee, and that is exactly one of them. And we've discussed providing – that I would be able to establish a report that would go to the board so that you can see what has happened in the previous month, and I do that on a monthly basis and then as requested, I will provide copies of audit reports when they're requested just to, I'm not sure everyone will want them and we want to make sure that we have a lot of - because of the protection from FOYA, we want to make sure that we keep them – only disseminate them as requested. So absolutely Marc I can make sure that we get that to you and we're working on the report to give you on a monthly basis from my office. On the second question or comment, we do an extensive, in the spring I do an extensive evaluation, risk analysis, meet with the cabinet members and determine what we'll do during the next year. Statutorily the president has to approve my audit plan before June 30 so that has already been established and approved and it's something that Chair Boey and I discussed providing that audit plan to you all so that you can see what we're planning in the future instead of getting after the fact vision of that. With regard to the fraud detection and prevention, these are audits that include, we have a lot that fall into that category that have a lot of cash handling operations and so that is something that the board back in 2000 approved guidelines that we would look at things so any area on campus that takes in money, takes in between \$50,000 and \$100,000 a year would be on our five year plan so we'd look at them once every five years. If they take in between \$100,000 and \$250,000, they fall into our once every three year cycle; and then anything any operation that takes in more than \$250,000 in cash per year is looked at on an annual basis and we have about five or six of those that consistently fall at that higher level. And those can fluctuate every year I do an analysis and some queries from our system to see where we're at and what departments or what operations fall in those categories. Does that help provide more information?

Trustee Strauss: Thank you. Yep.

Chair Boey: I would just add that Danielle and I have had several long meetings and it's my position that committee members here whoever wants information, are welcome to it. So Danielle will be available to all of you of course. Feel free to ask the questions. Thank you for all the help.

PUBLIC COMMENT

Chair Boey: I would like to now come back to and ask Greg Brady, I seem to be skipping things around this morning. Mr. Brady any requests on public comments?

Greg Brady: Mr. Chair I have received no requests.

Chair Boey: I'm glad, thank you.

OTHER MATTERS

Chair Boey: Alright let's get to the two quick CARL updates that we have. Danielle you have sat down already, but thank you for an excellent and informative report. I appreciate the work that you and your staff do in the important area of compliance on a daily basis. So thank you. The status of a cyber risk coverage and a brief report of the university's efforts related to the Jeanne Clery disclosure of campus security policy and crimes statistics act. Let me first call on Sarah Cliffe.

Speaker: Status of Cyber Risk Coverage (Sara Cliffe), Clery Act (Tom Phillips)

Sara Cliffe: Good morning Chair and trustee members. I'm going to talk real briefly about what risk management has done since we met you in August. We did renew our foreign package, our educators legal and our excess general liability policies. In September we worked with Procurement, Legal and Facilities on trying to determine when to require certificates of insurance from vendors without stopping the process of procurement. We are working on the transfer of the management of claims within the self-insurance fund from legal to risk management and a consultant for our insurance company toward the main campus looking for fire related conditions. We have not received her report yet. In October we met one-on-one with our brokers to identify issues that are of particular importance to Northern. Some of those things were ERM, natural disaster coverage under our foreign package and a program called campus connection which will provide coverage and policies onetime events for third parties who are using university facilities. We just received within the last two days the materials for our property renewal which will be due February 16th for the 2015/2016 year. We have received, with regard to cyber risk liability, a quote from Beasley Financial with three coverage options. We are expecting quotes from two other insurance carriers hopefully within the next couple of days. And generally the cyber policy would respond for the dissemination of social security numbers, health information, credit card numbers that are released to the public as a result of cyber-attacks, maybe a stolen computer or an inadvertently left personal data system like a computer or a cell phone. The policy that's been quoted provides credit monitoring in the event that there's a dissemination, defense costs, forensic costs, business interruption if the university loses income as a result of the dissemination and some public relations to manage the aftermath. So that's the update of risk management and cyber risk.

Chair Boey: Thank you. Questions for Sarah? Anybody? Yes.

Trustee Marshall: In terms of the policy for cyber-attacks what's the coverage amount?

Sarah Cliffe: There are three quoted coverage amounts, one million, three million and five million. All three carry a \$100,000 retention amount.

Trustee Marshall: And are we leaning towards any particular dollar amount?

Sarah Cliffe: That I don't know yet. I've been working on the submission of the application and the quote with ITS and we would like to see what the other two insurance companies come in with as well.

Chair Boey: Chief Tom Phillips is here, Tom welcome to the committee meeting and you're going to give us a Jeanne Clery Act report update.

Tom Phillips: Good morning. What I'm going to cover is a brief overview of the Clery statistics and the annual security report and how we came about to successful team work in compiling the report this year. I'd like to publically thank the office of General Counsel and Student Affairs for your collaboration on this project. We're doing some new and exciting things this year that I wanted to highlight this morning.

What is the Clery Act? The Clery Act came about in 1986 with the sexual assault of Jeanne Clery in a residence hall and it's a federal mandate that says that universities have to collect crime statistics in accordance with the uniform crime reporting and report them annually. That is the federal statute that articulates what I just said. The requirements, we have to publish an annual security report by October 1st of each year which we did succeed in doing this year. We have to maintain a public crime log which we do. I was able to tweak that and refine that to match our compliance standards this year, so that's maintained on the public safety website and we have to disclose our crime statistics each incident that occurs within our defined geography and that goes beyond the campus boundaries. Some other requirements, we also have to report on crimes in seven major categories; homicides, sex offenses, robbery, aggravated assault, burglary, motor vehicle theft, and arson. We also have to disclose statistics on the following categories for rest or student conduct referrals on liquor law violations, drug violations, and illegal weapons possession. We're also required to issue timely warnings in accordance with the Clery Act crimes. The university is also required to compile and publish an annual Fire Safety Report. We were successful in doing that and that's part of the annual security report. Enact policies and procedures to handle reports of missing students. The summary of those policies are inside of the security report. As everyone knows, the Violence Against Women's Act was enacted this year and as part of the president's task force we collaborated on that and this year was the first year that we reported on domestic violence, dating violence and stalking in the annual security report, and I'll have those statistics for you shortly. This is a brief overview of the statistics this year. I know that's probably difficult to follow but it's broken down 2012/2013 comparison for on campus crimes, non-campus public property and residential facilities. That's the categories that I had mentioned previously in the presentation. So the highlighted areas in yellow you'll see slight differences and I'm going to refer to that in the next few slides. So in 2012 we had a significant increase in burglaries on campus. Several of these burglaries occurred in one residence hall and were attributed to one offender. However, we had to count each instance of that burglary as a statistic so it was an increase in numbers. It looked like we had a rash of burglaries that spanned the year, but it was actually one burglar and one series of burglaries. So there was a significant decrease this year because of that, that was reported in 2012. We had a significant increase in alcohol arrests in 2013. As part of our co-policing agreement with DeKalb, our officers began issuing municipal ordinances off campus for violations of underage drinking and things along those lines for mostly non-students off campus that we would encounter that we couldn't refer to student conduct, we're not issuing municipal ordinances and because it's defined in our Clery geography, we have to report those statistics. A significant increase in alcohol arrests in 2013 also we proactively are making many more referrals to student conduct. So taking a proactive measure that not so much from the arrest perspective but from the referral and preventative and education perspective. We're increasing our efforts in those areas. So some of the things I've done this year to improve Clery reporting within public safety is I created a Clery team. Historically, public safety has had one individual that has worked with general counsel and I just felt like we needed more eyes on those numbers, so I developed a Clery team with my staff. I'm sending two additional members to the Stafford Clery training and we meet quarterly to go over the stats. Actually, let me back up a little bit, I implemented comp stats, statistical analysis is part of qualitative control measure in my department so we can track crime. Nearly real time, bi-weekly, we look at the stats that feed into Clery and we're using that as a proactive measure for preventative and enforcement measures and that goes hand-in-hand with Clery, so every two weeks we're looking at those numbers. And then every quarter we're codifying the numbers for the Clery report instead of waiting until the end of the year to do that and so by doing that in incremental meeting throughout the year, we're able to, we know ahead of time instead of having to look back nine months and figure out if it actually should go on the report. It's been received very well in the department. The officers are learning more and more about Clery and crime reporting and that will also enable us to have the annual security ready a lot sooner than we historically have so we don't have to, at the end of the year, September everyone is running to get the report together, we'll have it done much more promptly. Any questions?

Trustee Murer: Chief Phillips, I have two parts to my question. One's more personal and I'd like for you to share with the committee more of your background because you seem to really have a real seriousness in terms of the methodologies related to reporting and I think that's very refreshing and very important

here obviously. The other part of my question is though, I'd like you to perhaps address what has been the impact of conceal and carry laws in the State of Illinois and how has that impacted our campus over the past year?

Tom Phillips: Okay, so my background for those of you that I have not shared my experience with is primarily in university policing. I've spent the last twenty years, prior to coming to NIU, in Chicago at University of Illinois Chicago and University of Chicago. So I'm very familiar with Clery and crime reporting. I led a detective unit, was very actively engaged in sexual assault investigations and things that feed into Clery. So I take it personal and I like telling the story about my son growing up on that campus and why university policing was a career choice for me, so coming here it's no secret that I believe the number one issue I faced in the department in my arrival related to records and reporting, and some of the measures that I've taken over the past year, I'm in the middle right now of a comprehensive audit, internal audit, of my own department and looking at records, processes and the Clery team is a result of that, and looking at it from the paperless perspective and our servers and how we report and from the records division and make sure that all of our officers, not just me, have an understanding of the responsibility and accountability to accurately report and be transparent in reporting of crime on campus. I believe that we can't reduce crime unless we identify it and bring it out into the open and turn the lights on. So I'm not ashamed to say that if the numbers, the statistics are, if they increase it's not necessarily relative to an increase in crime, I believe a lot of it has to do with transparency in reporting and actually identifying and classifying for what it is. So I do take that serious ma'am thank you. Conceal carry, I think there was a lot of concern about that coming to Illinois and one of the things that I did, I consulted with a lot of my colleagues across the country and how they were implementing or other states that had conceal carry and how they handled that on their campuses. Some states have allowed conceal carry on campus, but most do not. So I decided after consulting with my colleagues that the best way to handle it here was to build a room to facilitate people that conceal carry to store their weapons before they come onto campus. I think the best approach to firearms on a campus is zero tolerance and I think that's been reflective over the past year and some of you have been notified of that. When someone brings a gun to campus they're not welcome, but I do allow them a place to store those weapons and I publically broadcast that they come to the police station, I have facilities for you to store your firearms. As far as the impact, it's really been nil and I did expect that. A lot of times the media sensation surrounding those type of events, they think that everyone is going to be carrying guns and it's really not that measure. I don't think that, while I think there has been an increase of people conceal carry, I think that as part of the training that they go through to be able to carry a firearm, it's made very clear to them that you can't carry them on a campus. We have not had a single person come to the police department and use our storage facility as of yet. The community does understand, they do understand that if you bring a firearm to campus, you're suspect to arrest. There's a strong possibility that you'll be arrested. Ignorance is not, you know the law to carry a gun, but we've had no incidents, none. There's been no calls of people on campus with guns as far as accidental conceal carries. I've had a lot of questions. I had a student ask me a question yesterday about it who is about to get his conceal carry and he's actually a member of the Senate and he said he went through the training and he understood the law and I made it very clear to him that make sure if you're going to carry that thing to school, make sure you come by me and see me every morning and check it in here. So there hasn't been a negative impact, there hasn't been an increase in gun calls or anything of that nature. It's really been not that substantial ma'am. I hope that answers your question.

Trustee Murer: Absolutely. We spent a lot of time last year as we were getting to implement this and the committee spent a lot of time in regards to conceal carry, but we hadn't talked about it subsequently, so I'm glad to hear it has not had a dramatic impact.

Tom Phillips: I think it was new to Illinois and with all the media sensation surrounding gun violence in Chicago, I think there was a lot of speculation surrounding it, but it has not impacted this community.

Trustee Murer: Thank you.

Trustee ????: The institution potentially has a significant liability for incorrect or failure to file under the Clery act so I'm interested in confirming who actually responsible for the report preparation and filing?

Tom Phillips: That's a collaborative effort between General Counsel and my office with input from Student Affairs. So we work with Vice President Blakemore and Jim Guagliardo and in the end we all sit down and we go over every single statistic and when there's a consensus on the numbers, actually it was previously Vice President Nicklas when he was the Vice President of Public Safety, this year he passed that down to me and I file the numbers with the Department of Education.

Trustee ????: And not all of these numbers are internally generated. You need the cooperation of surrounding police organizations as well, are you getting the cooperation that's required to allow you to prepare the reports?

Tom Phillips: We are getting the cooperation and to emphasize how comprehensive this process is, it's not just counting police reports. As a matter of fact, much of the information we receive comes from our Maxient system. We receive anonymous reports, confidential reports and for us to count it in Clery, it doesn't necessarily need to be corroborated by law enforcement, so CSA could report that and third party information. So if someone tells you sir that they were a victim of a robbery and then you fill out an anonymous report, we count that number. So it's a snapshot of a voice, of a community, rather than the actually hard police report facts. But the DeKalb has been very cooperative with us on multiple aspects. I have no complaints about our collaboration with DeKalb and they've been very forthcoming with those numbers. One of the challenges that I'm facing now is that I'm seeking to align our department, our records management system, to the City of DeKalb, DeKalb County and Sycamore on a specific records management system that I'm seeking for my department to get on that same software package and it would completely automate Clery reporting for the gathering of data from the city so we don't have to pencil the numbers from the city. Hopefully by the next time I present on this I'll have that.

Thank you.

Student Trustee Julion: Good morning Chief Phillips. I have one question it's about the numbers comparison. So I know on campus, is that just on campus or is that facilities as far as where the students stay?

Tom Phillips: I knew I was going to get that question this morning, because sometimes it can be confusing. If you look at 2012 to 2013 it says on campus, 2012 to 2013 non-campus, 2012 2013 public property, 2012 to 2013 residential facilities. What you'll notice is that the residential facilities are on campus. So the statistics counted on campus, what that is saying is and this is the way that Clery likes it reported, that out of those ten sex offenses that were reported, eight of them occurred in the residence halls. So that's not ten plus eight, that's eight of them occurred there. So on campus is self-explanatory. Non-campus is areas that within our Clery geography, so the best way that I explain this to the officers in my department is Greek Row where the fraternity houses because we're responsible for reporting on those properties, so those are non-campus properties. But we are required to report statistics and that's why I have our officers up there patrolling that area trying to reduce crime. Public property are like the sidewalks and streets outside of those. So whether it's on campus or the extended jurisdiction, we also have to report the crime that happens contiguous to the campus and on the sidewalks and streets around those areas. Something the numbers won't tell you, looking at that, I'm an amateur crime analyst. I say amateur because there's people much better at math than I am, but I look at the stuff and I look at it regularly. I'm into hot spot policing and identifying times, when does it happen, where does it happen and why does it happen; and when you look at these numbers what it will not tell you is a breakdown of three categories reported to the FBI which are crimes against persons, crimes against property, and crimes against society. A crime against person is a battery, an aggravated assault. A crime against property is a burglary, a theft. And a crime against society are the things that don't impact another

person, something like drug use, that's a societal crime. So UCR, apart from Clery, we have to report that through UCR. If you look at these numbers it may look like an increase or a decrease, but overall we're right where we were last year. There's not been a significant percentage increase in crime in our community when you break it down into those categories. I hope that clarifies a little bit more about that and those numbers.

Trustee Julion: Thank you.

President Baker: Chief could you note what we do for branch campuses and other locations where we have activities.

Tom Phillips: So we're not responsible for policing. We do have investigative jurisdiction and those campuses rely on the local law enforcement to provide police services to them. We collaborate with those agencies also to collect the crime statistics for those areas.

Trustee ????: Are those numbers included?

Tom Phillips: Yeah these are the campus proper, that's not these numbers. I apologize, I did not include those numbers because they're pretty much all zeroes.

President Baker: One of the reasons I bring it up is that the Clery Act requires extensive reporting so if we were to give a seminar at the University Club in Chicago, we got to go get the crime statistics for that building that day. It's a huge clerical activity that we have to do here and I just note that because the chief and his staff have a big reporting burden, a data collection burden, from every place we are, for every statistic, for every day. This is giving you a picture for 99 percent of what's going on with the university, but there's that other stuff that's a big deal.

Tom Phillips: Thank you sir, that is true.

Trustee Murer: One follow-up comment to what the president just said and I have really had the pleasure of speaking with you at any great length, but I think when we talk about data collection and reporting, I think we can look at it, one can look at it as a tremendous burden and almost in the demeanor of fighting the activity, it has to be done, but it's a dreaded activity. From a very brief presentation by the chief, it does not seem that way at all and it seems that you use this data for the purposes that are intended, to learn from it and so when you embrace data, when you embrace the process of data collection, then it really has the underpinnings of much greater validity. That's what I picked up from your comments this morning, that you're instilling that culture with your staff and that's very important to this university that it is not viewed as a burden, that it is not viewed as something has to be done, but rather it's something that you want to do so that you can analyze the information and also that you can communicate to different audiences what's actually happening on this campus from a safety perspective and for NIU safety issues is a major issue and a major perception and that perception needs to be that we are an extremely safe campus.

Tom Phillips: I agree with that completely, ma'am. We're safe here.

Trustee Boey: President Baker are you finished?

President Baker: Yes, thank you.

Trustee Wheeler: Thank you. Chief Phillips, I've got a comment and a question also. As it relates to, first of all I want to commend you for putting the necessary rigor around capturing data and appropriately reporting on it. A significant amount of fine, I think it's about \$35,000 per incident that we can be fined if we understate numbers, which in reality could be some real money. That's a significant fine and it's also

against the law so keeping us in compliance I think is really important and I appreciate you and your team focusing in on this and doing the necessary paperwork to track this. I do have a question around safety and our numbers in comparison to other universities. I have no clue if these numbers are lower than the University of Illinois or Southern Illinois in comparison to our student population, but it would be nice at some point in time if we can get visibility to see how we stack up against other universities in the state of Illinois.

Tom Phillips: That's an interesting comment sir because this is a discussion I have quite often with my colleagues, not only in university policing, but this was a recent discussion I had with Chief Lowry of DeKalb. It's difficult to give an accurate snapshot of the true crime rate based off Clery. And one of the challenges we face is the anonymous and third party reporting. There's no way to corroborate the validity of that so there's not a detective following up on it, we don't know if it actually occurred. It just takes you telling somebody and them telling somebody and then them telling anonymous reporting. So it's difficult to look at that and say that's the true crime rate of DeKalb. But what I can tell you that having worked at two other universities in the City of Chicago, NIU is a safe campus. Not saying that the others aren't. If you looked at the comparative statistics of Northern Illinois University versus University of Illinois Chicago, they look very similar. You wouldn't see a tremendous difference in crimes on campus or in the extended jurisdiction because these are very narrow reporting criteria as far as the public property and stuff. You know it's sidewalks adjacent to and contiguous. But I can tell you that I just looked at this and I did this as a result of a concerned parent that reached out to the university and a concerned alumni that was concerned about the crime or the perception of crime in DeKalb. So I looked at the numbers and I looked at not only the crime on campus, but the crime in the community. And I took the Chicago Police District, 12th District, which is surrounding the University of Illinois in Chicago, it's relatively the same square mileage as DeKalb. Relatively the same comparable populations and I can tell you that in the past three years the City of DeKalb has experienced 54 robberies. There have been 54 incidents of robberies in three years. That's too many, but that may seem like a lot and as a comparative to a city neighborhood, and I think UIC is a safe campus, but the 12th District experienced 498 in one year. That's a drastic difference in communities and what we're experiencing here. So it's difficult to say that this campus versus that campus because you have to take in the totality of the community around it; the co-policing efforts, the joint patrol and really just the tolerance. Before I came here I was at the University of Chicago which is Hyde Park and Woodlawn and to watch the news, there is a very high crime rate in that community and there's a lot of robberies in that community. However, it's a vibrant community and the people are engaged and that's not what I believe is the fear and the perception of crime that I experienced when I came here. I did notice that there was a heightened perception or a fear of crime here. I'm still studying that. I analyze that and trying to engage that. I think some of that may be cultural, some of it may be to be candid the urbanization of DeKalb. I'm trying to bridge that gap beyond a law enforcement perspective by building community and collaboration with Chief Lowry. For example, a recent unity walk in the community with Pastor Joe Mitchell. So I think that we can change those perceptions by just engaging the community and realize that we are safe here. Crime will never go away. We'll always have crime, we'll always need the police, but I think that by breaking down those barriers and engaging community through Camp Power and some of the other things that we've been doing these numbers will naturally decrease and you'll feel safer.

Chair Boey: Trustee Marshall has a question.

Trustee Marshall: What I wanted to ask was if we have visiting law enforcement officers, what classification are they in? Do they check the weapons in or what?

Tom Phillips: Yes sir. So police officers are aware that if they come to campus, all the police in Illinois leading up to conceal carry receive training on how to engage people with conceal carry and part of that training was if you come to a campus they're supposed to come to my office and check in. They have to receive my authorization to carry on campus.

Trustee: Marshall: I understand that at another college that I was quite familiar with we had a law enforcement curriculum and there were police officers all over the campus carrying. Thank you.

Tom Phillips: Yes. And sir I'd like to say that I feel comfortable with that. If an officer does – they come and they say hey I'm taking a class and what I do ask is that they do not attend class in uniform and that their weapon be concealed as not to alarm people, but we do have officers on this campus that are taking classes from pretty much everywhere in DeKalb county and I welcome that. They're my partners in keeping this campus safe.

Chair Boey: Thank you Chief and thank you for a great presentation, but more important I'm glad you're here so that you have a chance to interact with the committee members of the Board of Trustees and I think it's a good thing to do from time to time. Not because of incidents but because just to be in touch with the Board is always a good thing to do. So we'll figure out how best to get you back here the next time around. Thank you for a great report.

Tom Phillips: Thank you sir.

Chair Boey: I want to take this time to commend the president, his cabinet and staff for the work they have done and are doing in this area. The president's task force on Violence Against Women's Act chaired by Vice President Leslie Rigg and Eric Weldy. They have devoted a great deal of time and effort to reviewing current policies and practices and I'm certain the committee joins me in thanking the president, Chief Phillips, and the leadership of Student Affairs, Research and Innovation Partnerships, and the other divisions for their work in this area. Thank you all. Any other discussions we'd like to have? I think there's one more item here on the proposed work plan for fiscal year 14 and 15. It is a discussion that can take place part of it today and ongoing in terms of preparation for what we want to do for the coming year. Committee members you have any thoughts on, for this committee, any particular new work plan you'd like to add. Marc?

Trustee Strauss: I want to reiterate my interest in having us pursue the development of an enterprise risk management program. I also believe that we've spent a lot of time cataloging the myriad of regulations that the university suffers under, but I think that what we need to do is to get to an action plan where we have people that are identifiable and are responsible for fulfilling the responsibilities that we have under these regulations and then finding a way to be able to systematically follow-up on them resulting in kind of a scorecard so that we have the ability as a committee to monitor whether or not our responsibilities are being fulfilled under all of those regulations. I think that ought to be a hallmark of what this committee does and I hope that we can quickly get to that result.

Chair Boey: I think that's a good idea and how about between you and Jerry and I and Greg we schedule a time where we can talk a little bit more before we have another committee meeting.

Trustee Strauss: Yeah I'd be happy to participate in that effort.

Chair Boey: Good. Thank you. Anybody else? Wheeler?

Trustee Coleman: So the only thing that I like to get additional visibility on is the training that we have in place for compliance and following rules within the university. It would be nice to get visibility to what those training programs consist of as well as what's mandated versus what's volunteered or additional training and then the compliance. How many people are not taking training when they should be and that kind of stuff.

Chair Boey: We'll work on that as well. Anybody else?

NEXT MEETING DATE

Chair: Boey: The next meeting date we have is a tentative meeting date we have is February 26, 2015 which is the same date I hope for all the other committee meetings.

Actual date/time: Tentatively, February 26, 2015

ADJOURNMENT

Chair: Robert Boey: Thank you for coming out. A motion to adjourn.

Motion: Butler: So moved.

Second: Strauss: Second

Chair: Robert Boey: All in favor?

Members: Aye.

Chair Boey: Opposed? Carried. Thank you all. Have a good day.

Meeting adjourned at: 12:04

Respectfully submitted,

Julie Edwards
Recording Secretary

In compliance with Illinois Open Meetings Act 5 ILCS 120/1, et seq, a verbatim record of all Northern Illinois University Board of Trustees meetings is maintained by the Board Recording Secretary and is available for review upon request. The minutes contained herein represent a true and accurate summary of the Board proceedings.